

Review

Gut Microbiota in Cancer Immune Response and Immunotherapy

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The gastrointestinal tract (GIT) is the largest immune organ and maintains systemic immune homeostasis in the presence of bacterial challenge. Immune elimination and immune escape are hallmarks of cancer, both of which can be partly bacteria dependent in shaping immunity by mediating host immunomodulation. In addition, host immunity regulates the microbiome by altering bacteria-associated signaling to influence tumor surveillance. Cancer immunotherapy, including immune checkpoint blockade (ICB), appears to have heterogeneous therapeutic effects in different individuals, partially attributed to the microbiota. Thus, the microbiome signature can predict clinical outcomes, prognosis, and immunotherapy responses. In this review, we summarize the intricate crosstalk among the gut microbiome, cancer immune response, and immunotherapy. Interactive modulation of the host microbiota provides new therapeutic strategies to promote anticancer therapy efficacy and/or reduce toxicity.

Introduction

The GIT is not only an important site of digestion and absorption, but also the largest immune organ in the body, harboring 60–80% of the general immune cells of the host, as well as structures that maintain gut immune homeostasis in the presence of bacterial challenge [1]. The GIT immune system confronts an array of challenges compared with other organs because of its constant microbial load. Carcinogenesis is interrelated with human immune status and environmental factors, among which the gut microbiota and its metabolites have been discussed widely over the past decade. Commensal and pathogenic bacteria have an intricate immunoregulatory impact on systemic cancer immunity [2]. In turn, cancer can also affect the gut bacterial composition, which regulates the tumor microenvironment (TME), contributing to immune inhibition [3]. Therefore, cancer and self-specific immune cells might cross-react with bacteria.

Microbiota can influence both tumor development and treatment response [4]. Cancer immunotherapy has been described as a major scientific breakthrough in cancer treatment. ICB is at the forefront of immunotherapy development, mostly owing because of its extensive bioactivity among distinct histopathological cancer types and efficacy against metastatic tumors [5]. The most prominent immune checkpoints include programmed cell death 1 (PD-1)/programmed cell death ligand 1 (PD-L1) and cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), which suppress T cell activation and, thus, diminish immune responses against cancer. Inhibitors of these immune checkpoints could reverse this unfavorable situation. Regrettably, <30% of patients benefit from ICB [6]. The heterogeneous clinical response to ICB is multifactorial and can be divided into two aspects: (i) tumor-intrinsic factors (mutational status and oncogenic signaling); and (ii) tumor-extrinsic factors. The TME, metabolic factors, host (age and genetics), and environmental factors (microbiota and diet) are major tumor-extrinsic factors [7].

Highlights

Immunotherapy is a promising treatment for cancer, the responses to which might be affected by the gut microbiota. Demonstrating its underlying mechanism could lead to new strategies to treat cancer.

Previous studies observed a mutual relationship between the gut microbiota and gastrointestinal immunity. Immunity shapes gut microbes, and vice versa, mainly through adaptive immunity, either in a beneficial or harmful manner.

The gut microbiota might also act as a modulator of gut immunity. Fecal microbiota transplantation and antibiotics administration might influence the efficacy and toxicity of immunotherapy via the microbiota.

Increasing research is being performed in the field of microbiota precision medicine. Using precise microbial modulation could make ICB therapy safer and more effective.

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In this review, we emphasize the crosstalk among the gut microbiome, cancer immune response, and cancer immunotherapy, by providing evidence from both preclinical studies and clinical trials. We discuss the intrinsic mechanisms involving the gut microbiota and highlight promising technologies that might lead to new therapeutic avenues for cancer immunotherapy.

Immunomodulatory Effects between the Host and the Gut Microbiota in the Cancer Immune Response

Host immunity regulates the gut microbiome to maintain homeostasis and, in turn, the microbiota also shapes host immunity (Table 1) [8–29]. The gut microbiome can affect not only gut immunity, but also immune responses in distal mucosal sites via the circulation, systemic metabolism, and immune modulation (Figure 1) [1].

The GIT is heavily exposed to microorganisms and foreign antigens. Therefore, the mucosal immune system (MIS) emerges as the main barrier against pathogen invasion [1]. Intestinal epithelial cells (IECs), as one of the barriers in the MIS, widely express classical pattern recognition receptors (PRRs), such as NOD domain-like receptors (NLRs) and Toll-like receptors (TLRs), which communicate with microorganisms via initial recognition of lipopolysaccharide (LPS), flagellins, bacterial peptidoglycans, and cell wall lipoproteins [30] (Figure 1).

The relationship between immunity and tumor cells can be divided into three phases: (i) tumor immune elimination; (ii) immune equilibrium; and (iii) immune escape. Immune elimination refers to the recognition and removal of tumor cells by immune cells, whereas immune equilibrium is a dynamic balance whereby cancer cells cannot be either completely removed or grow rapidly because they are still controlled by immunity. Immune escape is the ultimate phase, in which escaped tumor cells grow independently of the host immune system (Figure 2). Thus, immunity has a dual role in tumorigenesis. Here, we focus on the gut microbiota in adaptive and innate immune responses in cancer.

Adaptive Immune Response between the Host and the Gut Microbiota in Cancer

The adaptive immune response is more specific to antigens, and is separate from the innate immune response, which can be affected by the gut microbiota in a beneficial or harmful way. For example, fecal bacteria, especially *Bacteroides fragilis*, from *Apc*^{Min/+} mice, were associated with mucosal dysplasia, increased numbers of polyps, and increased proportions of T helper (Th) 17 (CD4⁺ IL-17⁺) and Th1 (CD4⁺ IFN- γ ⁺) cells, thus triggering signal transducer and activator of transcription 3 (STAT3) stimulation in colorectal cancer (CRC) [31]. Enterotoxigenic *B. fragilis* (ETBF) also promoted oncogenesis via its toxin BFT and interleukin (IL)-17 on colon epithelial cells. This resulted in the recruitment and differentiation of myeloid cells into myeloid-derived suppressor cells (MDSCs), which can upregulate nitric oxide synthase 2 (NOS2) and arginase 1 (ARG1), generate NO, and inhibit T cell proliferation in the TME [32] (Figure 1).

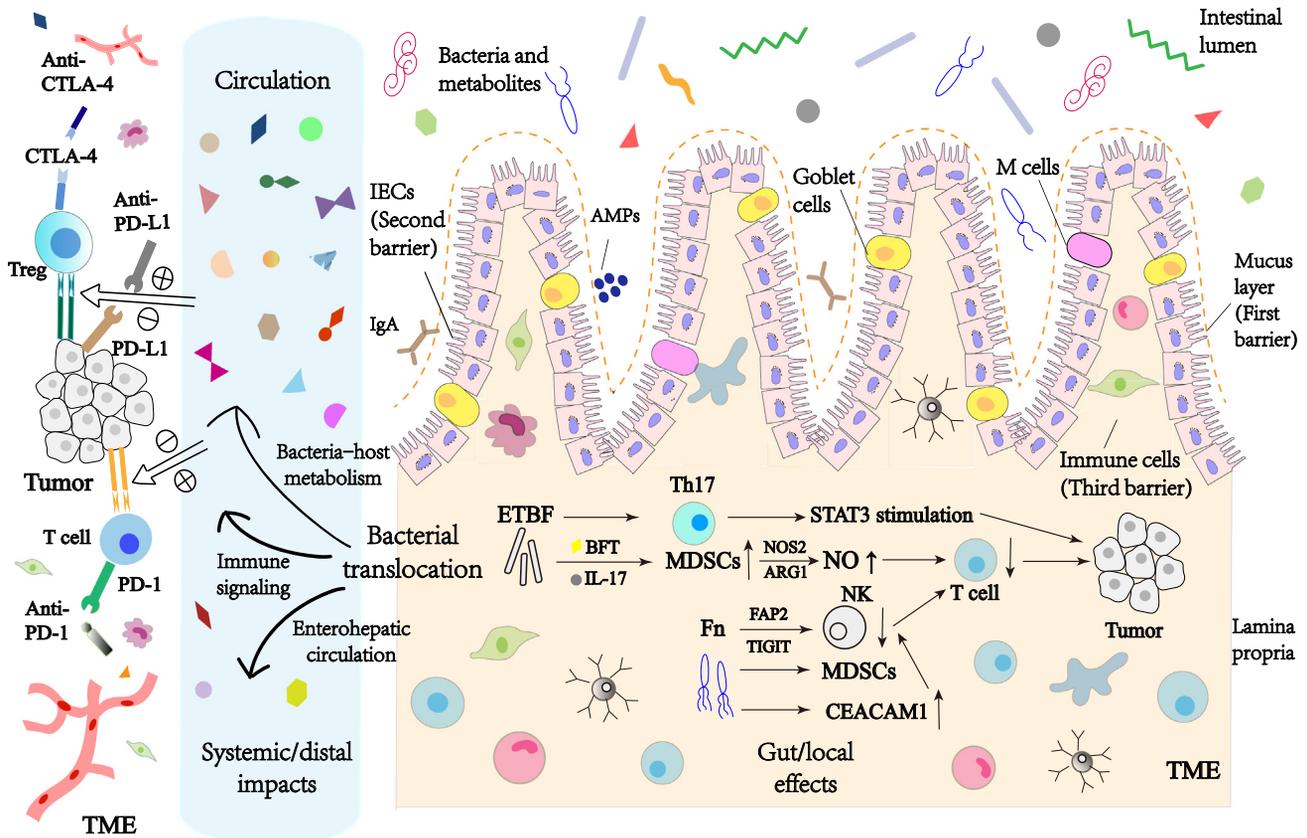
Fusobacterium nucleatum is also associated with CRC, and has been found to inhibit anticancer T cell-mediated adaptive immunity [33]. The interaction between *F. nucleatum* fibroblast activation protein 2 (FAP2) adhesin and human T cell immunoglobulin and ITIM domain (TIGIT) expressed on natural killer (NK) cells, blocked NK cell activities to enable *F. nucleatum* to evade antitumor immunity [34]. *F. nucleatum* also selectively recruits tumor-infiltrating myeloid cells, thus promoting inflammation in the TME, which favors colon neoplasia. In this regard, MDSCs were enriched in *F. nucleatum*-fed *Apc*^{Min/+} mice compared with controls, and could significantly inhibit T cells [35] (Figure 1). T cells recognized certain bacteria, indicating the possibility of bacteria sharing common antigens with tumor cells [36].

Table 1. Interplay between the Gut Microbiota and Host Immune System to Maintain Homeostasis^a

| Bacteria/bacterial metabolites | Immune system components | Major findings | Refs |
|-------------------------------------|-------------------------------------|--|------|
| Gut microbiota shape immunity | | | |
| Innate immunity | | | |
| Commensal microbiota | Innate immunity | Indole-derivatives decomposed by commensals promote barrier functions mediated by AhR | [8] |
| | ILCs | Commensal bacteria induce NKp46 ⁺ RORγt ⁺ ILC cell differentiation | [9] |
| SFB | ILCs | SFB enhances IL-22 production from ILC3 | [10] |
| <i>Lactobacillus casei</i> | Innate immune system | <i>L. casei</i> stimulates anti-inflammatory effects by suppressing NF-κB pathway to mitigate <i>Shigella flexneri</i> infection | [11] |
| SCFAs | IECs | SCFAs intensify epithelial barrier function through PXR | [12] |
| | | SCFAs prompt myelopoiesis | [13] |
| | Myeloid cells | SCFAs change gene expression profile of local macrophages | [14] |
| | Plasma cells | SCFAs promote immune function by IgA generated by plasma cells | [15] |
| Butyrate | IECs | Downregulation of proinflammatory cytokine expression in IECs requires signal from butyrate | [16] |
| Flagellin | ILCs, DCs | IL-23-mediated IL-22 generation by ILCs requires flagellin sensing by CD103 ⁺ CD11b ⁺ DCs | [17] |
| | DCs | DCs interact with flagellin via expression of chemokines, cytokines, and antimicrobial peptides | [18] |
| LPS | Monocytes | LPS induces migration of monocytes from bone marrow dependent on CCR2 | [19] |
| Adaptive immunity | | | |
| Clostridia | Tregs | CD4 ⁺ Tregs can be induced by Clostridia spp. <i>Clostridium</i> induces Treg differentiation and their expression of IL-10 | [20] |
| <i>Bacteroides fragilis</i> | Tregs | <i>B. fragilis</i> induces Treg production and differentiation via TLR2 receptor activation | [21] |
| | Th17 cells | <i>B. fragilis</i> PSA stimulates IL-10 response in T cells to prevent Th17 cell expansion and gut barrier damage | [21] |
| | CD4 ⁺ T cells, Th1 cells | <i>B. fragilis</i> -produced PSA induces accumulation of CD4 ⁺ T and Th1 cells in circulation | [22] |
| <i>Bifidobacterium adolescentis</i> | Th17 cells | <i>B. adolescentis</i> induces accumulation of Th17 cells | [23] |
| <i>Alcaligenes</i> | IgA of B lymphocytes | <i>Alcaligenes</i> induces IgA generation by interacting with CD11 ⁺ DCs | [24] |
| Commensal microbiota | Tregs | Commensal microbiota produce a specific set of Tregs, which present TCRs against commensal antigens, in murine intestines | [25] |
| SFB | Th17 cells | SFB assists in induction and differentiation of intestinal Th17 cells and its active sampling by DCs | [26] |
| Immunity control gut microbiota | | | |
| Commensal microbiota | Innate immune system | Fucosylated proteins shedding into gut lumen become an energy source for commensal microbiota when intestinal ecosystem is temporarily disturbed | [27] |
| <i>Alcaligenes</i> | ILCs | The containment of <i>Alcaligenes</i> is induced via IL-22 produced by ILCs | [28] |
| <i>Bacteroidetes</i> | IECs | Mice deficiency in IEC expression of NLRP6 develop immune-driven dysbiosis with higher abundance of <i>Bacteroidetes</i> | [29] |

^aAbbreviations: AhR, aryl hydrocarbon receptor; DC, dendritic cell; IECs, intestinal epithelial cells; ILCs, innate lymphoid cells; PSA, polysaccharide-A; PXR, pregnane X receptor; SFB, segmented filamentous bacteria; TCR, T cell antigen receptor; Treg, regulatory T cell.

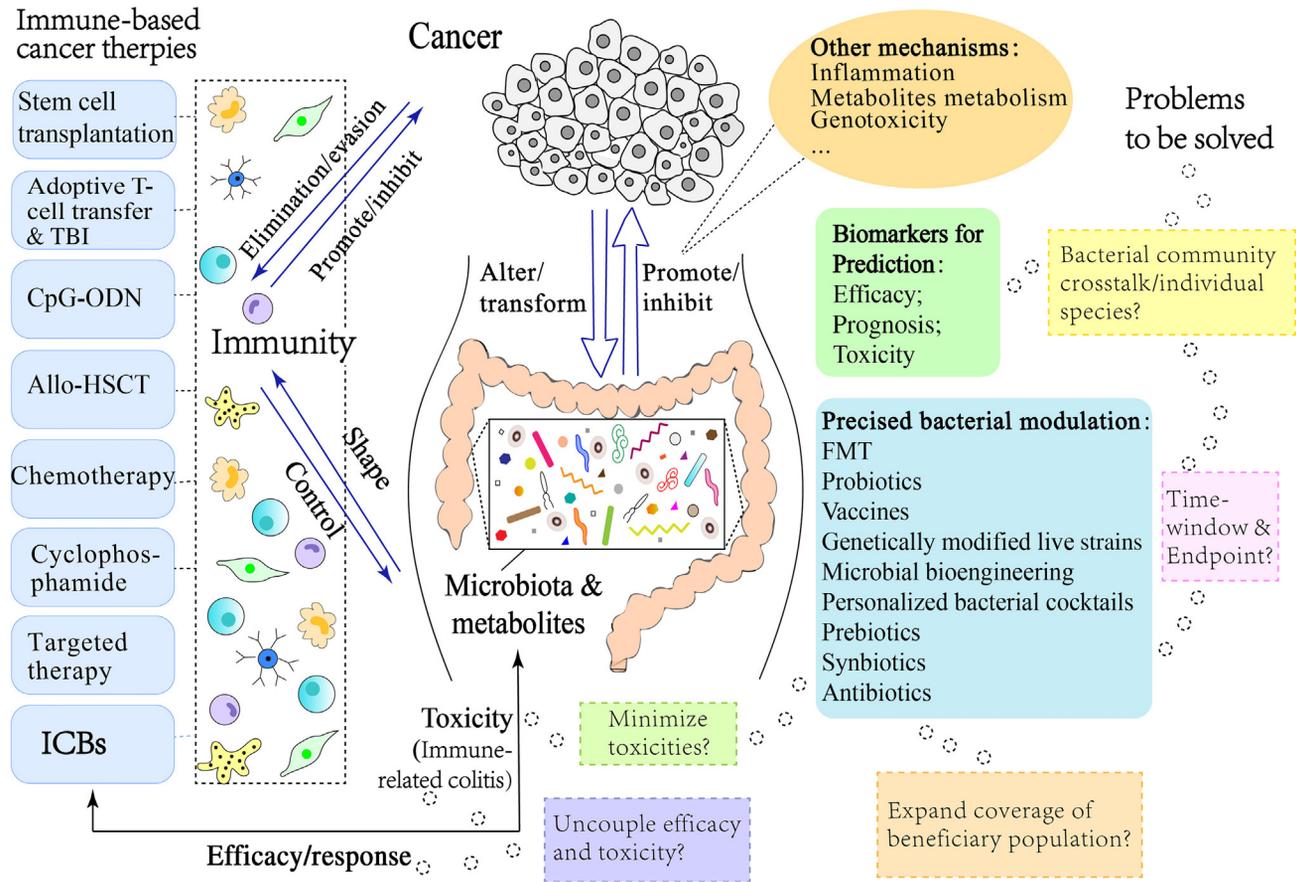
Under certain circumstances, the commensal microbiome can promote immune clearance by facilitating tumor immunosurveillance. Bacteria-reactive responses of circulating CD8⁺ T cells, depending on antigen-presenting monocytes, were identified in patients with hepatitis B virus (HBV)-related hepatocellular carcinoma (HCC) compared with healthy controls. In addition, the disease-free survival duration after tumor resection associated positively with the frequencies of



Trends in Cancer

Figure 1. The Interaction between the Gut Microbiota and Cancer Immune Response. In the colon, the mucosal immune system (MIS) emerges as the main barrier defense against pathogen invasion [1]. The mucus layer acts as the first barrier and helps keep microbes away from intestinal epithelial cells (IECs; second layer, mainly comprising columnar epithelial cells, goblet cells and M cells), which activate intraepithelial lymphocytes to generate effector cytokines that collaboratively function on immune cells (third layer) in Peyer's patches and mesenteric lymph nodes residing on lamina propria [1,69]. MIS is critical for bacteria phagocytosis and provides secretory immunoglobulin (Ig)-A and IgM [15,16]. The antitumor/procarcinogenesis local effects in the gut may be expanded to distal sites through bacterial translocation into host circulation mainly by means of immune signaling, metabolism of microbial metabolites, and enterohepatic circulation. The systemic effects are displayed when associated signaling pathways are up/downregulated. Thus, the immune response to programmed cell death 1 (PD-1), programmed cell death ligand 1 (PD-L1), and cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) of tumors outside the gut can be enhanced/reduced. Also shown are the major mechanisms currently known to be related to colon tumorigenesis. Enterotoxigenic *Bacteroides fragilis* (ETBF) triggers signal transducer and activator of transcription 3 (STAT3) stimulation in a T helper (Th)-17-dependent pathway in colitis-associated colorectal cancer (CRC) [32]. ETBF also promotes oncogenesis driven by *Bacteroides fragilis* toxin (BFT) and interleukin (IL)-17 on colon epithelial cells through the recruitment of myeloid cells to the tumor microenvironment (TME), and induces them to differentiate into inducible nitric oxide synthase (iNOS)^{hi} monocytic myeloid-derived suppressor cells (Mo-MDSCs), which can upregulate nitric oxide synthase 2 (NOS2) and arginase 1 (ARG1), generate nitric oxide (NO), and inhibit T cell proliferation [63]. In addition, the interaction between *Fusobacterium nucleatum* fibroblast activation protein 2 (FAP2) adhesin and human T cell immunoglobulin and ITIM domain (TIGIT) blocks natural killer (NK) cell activities to enable *F. nucleatum* to evade antitumor immunity [45]. *F. nucleatum* binds to, and induces, carcinoembryonic antigen-related cell adhesion molecule 1 (CEACAM1) expression to inhibit activities of NK and T cells [65]. It also electively recruits tumor-infiltrating myeloid cells, thus regulating the TME to promote inflammation conducive for colon neoplasia. In this regard, MDSCs are enriched and significantly inhibit T cells [46]. Abbreviations: AMPs, antimicrobial proteins; M cells, membranous cells; Fn, *Fusobacterium nucleatum*; Treg, regulatory T cells.

Enterococcus hirae-reactive and *Bifidobacterium longum*-reactive CD8⁺ T cells [37]. Patients with tumor-resected pancreatic ductal adenocarcinoma (PDAC) and long-term survival displayed a more diverse microbiome landscape, including *Streptomyces*, *Pseudoxanthomonas*, and *Saccharopolyspora*, which induced CD8⁺ T cell-dependent antitumor immune responses [38], suggesting that the composition of the tumor microbiome influences host immune responses and patient outcome.



Trends in Cancer

Figure 2. The Interplay among Gut Microbiota, Cancer Immune Response, and Cancer Immunotherapy and Perspectives for Precise Microbiota Manipulation. There exists a complex association among the enormous microbiota community colonizing the gut and their metabolites, host immune system, and cancer. From the inside out, microbiota and their metabolites shape host immunity by mediating host immune disorders and consequently affecting tumor onset and progression. From the outside in, the host immunity controls the microbiome by altering microbe-related signaling or metabolic functions to influence tumor surveillance. Carcinogenesis is promoted/inhibited through immune evasion/elimination. Moreover, gut microbiota can also induce carcinogenesis via other mechanisms, such as genotoxicity, inflammation and metabolism. Conversely, cancer also transforms the gut microbiome to intensify/reduce responses to immune-based therapies, including immune-checkpoint blockade (ICB). Occasionally, ICB results in immune-related adverse effects (irAEs)/toxicity in the host of, which the most severe is immune-related colitis. This figure shows the role of microbiota in future applications involving biomarkers for immunotherapy efficacy, prognosis, and toxicity, as well as precised manipulation, such as fecal microbiota transplantation (FMT), probiotics, prebiotics, vaccines, antibiotics, and bacterial bioengineering. However, multiple gaps and problems remain to be solved in future research. Abbreviations: allo-HSCT, allo-hematopoietic stem cell transplantation; CpG-ODN, CpG-oligodeoxynucleotides; TBI, total body irradiation.

Innate Immune Response between the Host and the Gut Microbiota in Cancer

Innate lymphocytes enriched in the gut mucosa or other digestive organs assist the coordination of immune equilibrium and express cytokines to exert immunoregulatory activities [39]. In a cohort of patients with CRC, bacteria-dependent activation of transcription factor 6 (ATF6) induced early gut dysbiosis, epithelial barrier damage, and innate immune signaling that promoted tumorigenesis. In nATF6IEC MyD88/TRIF-knockout mice, bacterial penetration into the mucus induced MYD88 innate immune signal transduction adaptor (MYD88)/TLR adaptor molecule 1 (TRIF)-dependent Stat3 activation to promote tumor growth [40]. Compared with controls, a dominant distribution of *B. fragilis* and *Escherichia coli* existed in the colonic mucosa of patients with familial adenomatous polyposis. Co-colonizing azoxymethane (AOM) and *Apc*^{MinΔ716/+} GF mice with enterotoxigenic *B. fragilis* and *E. coli* jointly led to tumor susceptibility, with an increase in IL-17

that was released by both $\gamma\delta$ T17 and Th17 cells [41]. Likewise, colon polyposis in *Apc^{Min/+}* mice was stimulated by bacterial accumulation in polyps, inducing local inflammatory responses. IL-10 derived from T cells and regulatory T cells (Tregs) inhibited colonic inflammation, bacterial accumulation in polyps, and polyp growth [42]. Commensal bacteria accelerated tumorigenesis by inducing differentiation and maturation, which led to immunocytokine production [43].

In patients with PDAC, bacterial ablation by oral antibiotics was found to reprogram the TME via TLR signaling [44]. In this context, MDSC infiltration was reduced, macrophage 1 differentiation was enhanced, and Th1 differentiation of CD4+ T cells and CD8+ T cell activation was stimulated. Taken together, these findings imply an intricate interplay between the innate immunomodulating role of specific bacteria and multiple tumors.

Gut microbes can also benefit the innate antitumor immunity. Mice lacking ubiquitin ligase ring finger protein 5 (RNF5), a major molecule in T cell affusion, displayed a transformed gut microbiota with anticancer immunity capability against melanoma. Eleven bacterial strains, mainly members of *Bacteroides* and *Parabacteroides*, were enriched in *Rnf5^{-/-}* mice, which suppressed tumor growth. Altered IEC immunogenicity and antimicrobial peptides both influenced the gut microbiome constitution and activation of dendritic cells (DCs) and T cells to inhibit melanoma growth [45]. Broad-spectrum antibiotics could eliminate symbiotic microflora, thereby inducing engrafted melanoma and lung cancer progression in mice. In antibiotic-treated mice, the $\gamma\delta$ T17 cell response was impaired and the subsequent release of IL-17, IL-23, and IL-6 was reduced [46].

There is also a correlation between microbial immunomodulatory metabolites and immune responses, suggesting future tumor therapeutic manipulation [47]. Certain metabolites produced by microbes might affect adaptive and innate immunity. As one of the byproducts of microbial fermentation of fiber, short-chain fatty acids (SCFAs) facilitate colonic Treg expansion by suppressing histone deacetylase (HDAC) activity and targeting Forkhead box P3 (FOXP3), the major nuclear transcription factor of Tregs [48,49]. Tregs either exhibit anti-inflammatory effects against carcinogenesis or mitigate anticancer responses when they infiltrate the TME [50]. SCFAs can also increase the number of macrophage precursors and intensify CD8+ T cell function by influencing cellular metabolism, maintaining an equilibrium between innate and adaptive immunity [51]. In particular, butyrate can boost activated T cell elimination via Fas cell surface death receptor (FAS) upregulation [14,49,52].

Other microbial metabolites, such as polyamines, restrain anticancer immunity by inhibiting lymphocyte proliferation and inducing the generation of tumor-derived proteases that heighten tumor cell invasiveness. In patients with obesity-associated HCC, lipoteichoic acid (LTA), derived from Gram-positive bacteria, aggravated tumor burden through its translocation to the liver. Mechanistically, LTA induces a senescence-associated secretory phenotype together with the bacterial metabolite deoxycholic acid, thereby upregulating cytochrome C oxidase subunit 2 (COX2) expression via TLR2-mediated signaling. Subsequent prostaglandin E2 (PGE2) production mediated by COX2 inhibits anticancer immunity via the prostaglandin E receptor 4 (PTGER4) [53].

Collectively, the impact of gut microbiota on the cancer immune response mainly manifests as follows: activation of regulatory T cell proliferation and differentiation; induction of IgA expression; and the influence of antibacterial peptide expression, microbial metabolism, the regulation of systemic inflammation, and bacterial translocation. Notably, key questions remain (see Outstanding Questions), which will be vital for mechanistic research and will affect subsequent research directions.

The Impact of Gut Microbiota on the Responses to Cancer Immunotherapy

Cancer immunotherapy can effectively control tumors by restarting the tumor–immune loop and restoring host antitumor immune responses. Currently, classical tumor immunotherapies include ICB, therapeutic monoclonal antibodies (mAbs), cancer vaccines, adoptive T cell transfer, small-molecule inhibitors, and immune system modulators. ICB appears to exert heterogeneous therapeutic efficacy on different individuals [5]. In recent years, a growing number of studies have jointly underlined that fecal and/or gut microbiome signatures are predictive of clinical outcomes, prognosis, and responses to immunotherapy. Indeed, modulation of the host–microbe interaction provides new therapeutic strategies to target certain specific bacteria to promote anticancer therapy efficacy or reduce toxicity.

The Effects of Gut Microbiota on ICB Responses

Recently, efforts have centered on exploiting the effective clinical efficacy or prognosis indicators for ICB for immunotherapy responses. Antibodies targeting PD-1 and PD-L1, namely atezolizumab, nivolumab, pembrolizumab, durvalumab, avelumab, toripalimab, sintilimab, and camrelizumab, and CTLA-4 blockers, such as ipilimumab, are approved by the US Food and Drug Administration (FDA) to treat lymphoma, melanoma, non-small cell lung cancer (NSCLC), prostate cancer, bladder cancer, and kidney cancer [54]. There is mounting evidence that members of the gut microbiome can serve as prognostic biomarkers to predict patient response to ICB therapies [55–57]. A marked difference in microbiomes was observed between patients with different ICB prognoses. In the first global prospective study in 2017, human gut microbiota, and metabolomic and metagenomic outlines were analyzed in 39 patients with melanoma who received anti-CTLA-4 (ipilimumab) combined with anti-PD-1 (nivolumab) immunotherapy (IN) or just anti-PD-1 therapy (P) [55]. *Holdemania filliformis*, *Bacteroides thetaiotaomicron*, and *Faecalibacterium prausnitzii* were enriched among IN responders, whereas *Dorea formicogenerans* was enriched among P responders [55]. 16S ribosomal RNA (rRNA) sequencing in stool samples previously revealed a relevant increase in *Burkholderiales* and *Bacteroidales*, as well as a decrease in *Clostridiales* in anti-CTLA-4 responders [56], and suggested that mucosal damage mediated by CTLA-4 blockade was the main cause of gut microflora alteration. In addition to melanoma, another larger-scale cohort included patients with advanced epithelial tumors [e.g. renal cell carcinoma (RCC, $n = 67$), NSCLC ($n = 140$), and urothelial carcinoma ($n = 42$), which revealed that patients with higher fecal abundance of *Akkermansia muciniphila* achieved a better response to anti-PD-1 therapy [57]. Moreover, fecal microbiota transplant (FMT) from responders (R-FMT) and nonresponders (NR-FMT) into germ-free (GF) mice confirmed the microbial modulation of antitumor immune responses [56,57]. Compared with R-FMT mice, NR-FMT mice had more rapid tumor growth and poorer responses to anti-PD-1 therapy, which indicated that the gut microbiota could be a modulator of the responses to ICB therapies.

The gut microbiota might have a close relationship with ICB treatment responses; however, the underlying mechanisms remain unknown. Most of studies focus on the adaptive immunity induced by the gut microbiota during ICB treatment. One suggested mechanism is that gut microbes promote antitumor CD8⁺T cell responses during ICB treatment. Early in 2015, 16S rRNA gene sequencing in mice found that *Bifidobacterium OTU_681370* was enriched most in JAX-fed transverse aortic constriction (TAC) mouse feces, displaying the strongest positive correlation with peripheral and intratumoral CD8⁺T cell responses. Oral supplementation of a probiotic cocktail containing live *Bifidobacterium* spp. (*Bifidobacterium longum* and *Bifidobacterium breve*) inhibited melanoma growth and facilitated tumor-specific antitumor CD8⁺T cell responses, which almost paralleled the efficacy of anti-PD-L1 therapy [58]. In addition, *E. hirae* strain 13144, which was increased in responders (R) compared with nonresponders (NR) among 32 patients

with NSCLC, elevated peripheral CD8⁺ or CD4⁺ T cell responses, enhanced IFN γ production, and extended progression-free survival (PFS) in the R group [57]. Moreover, 11 bacterial strains, containing seven *Bacteroidales* spp. from healthy donor stools [59], was shown to facilitate the accumulation and recruitment of intestinal IFN γ ⁺ CD8⁺ T cells, without causing innate immunomodulation, which depended on lamina propria CD103⁺ DCs and MHC class Ia.

Another mechanism could be that gut microbes influence the Th1 immune response. Immuno-genic *B. fragilis* or *Bacteroides thetaiotaomicron* influence IL-12-dependent Th1 immune responses and are associated with anti-CTLA-4 efficacy. In a RET melanoma mouse model, the anti-CTLA-4 effect was diminished in GF mice and specific-pathogen-free (SPF) mice that were treated with a 2-week course of broad-spectrum antibiotics. Oral administration of *Bacteroides romine* combined with *B. thetaiotaomicron* or *Burkholderia cepacia* to GF mice stimulated Th1 immune responses in draining lymph nodes and maturation of intratumoral DCs, resulting in restoration of anti-CTLA-4 efficacy [56].

Gut microbes might also modulate TH17 cells, which in turn affect the TME. Researchers investigated whether PDAC metastasis contained an abundant microbiome that matched the intestinal microbiota. The unique TME in PDAC was infiltrated by IL-17A⁺ CD4⁺ Th17⁺ cells at the cost of anticancer IFN- γ ⁺CD4⁺ Th1 cells to block differentiation. Oral antibiotics significantly mitigated the tumor burden and reprogrammed TME immunogenically, especially via the adaptive immune responses [44].

Moreover, *Akkermansia muciniphila* was found in various studies to influence ICB responses by modulating innate immunity, although the mechanism remains unclear. However, *A. muciniphila* could be used to predict or enhance the ICB response, given that it was reported to have a mucosal healing capacity. When *A. muciniphila* was fed orally to NR-FMT mice, anti-PD-1 efficacy was preserved, which depended on IL-12 by promoting CCR9⁺CXCR3⁺CD4⁺ T lymphocyte recruitment into the tumor beds [60]. Inoculation of *A. muciniphila* and *E. hirae* into GF mice intensified anti-PD-1 efficacy in metastatic melanoma, NSCLC, and RCC [57]. Another study addressed the positive role of *A. muciniphila* in inducing T follicular helper cell-dependent IgG1 responses in mice [61]. The specific mechanism of by which *A. muciniphila* exerts its effects were not clearly demonstrated in either study; however, it appears to correlate with type 1 immunity.

Future research for clinical translation is expected to exploit the tremendous potential of appropriate bacteria for the prevention, target acquisition, and treatment of cancers (Figure 2).

Antibiotics and ICB Efficacy

Antibiotics are commonly used prophylactically or therapeutically in patients with cancer because of their susceptibility to infections. Nonetheless, studies have shown that antibiotic administration at inappropriate times could decrease the efficacy of immunotherapies [46,57,62–70]. Therefore, clinicians should cautiously weigh the pros and cons of antibiotic use.

It was suggested that broad-spectrum antibiotic administration, even its chronic excessive exposure under definite or latent infections, would lead to intestinal dysbiosis and impaired immune cell responses [63–65]. The median survival of patients treated with antibiotics before or immediately after anti-PD-1 treatment was almost half that of those who did not receive antibiotics [57]. Poorer response rate and shorter overall survival (OS) or progression-free survival (PFS) were also observed when antibiotics were used before or after ICB therapy in patients with advanced cancer [71,72]. The positive correlation between long-term exposure to antibiotics and cancer risk has

been reported in breast, gastric, esophageal, renal, and lung cancers [69,70,73–75]. Similarly, combined broad-spectrum antibiotic (vancomycin, ampicillin, metronidazole, and neomycin) injection into mouse models of either NSCLC or melanoma inhibited the protective IL-17-producing $\gamma\delta$ T17 cell response and ultimately enhanced the development of metastasis [46]. These results provide support for the adverse effects of antibiotics in tumor progression.

Nevertheless, these studies were unable to confirm the direct negative impact of antibiotics on anti-PD-1 efficacy because most were either animal experiments or retrospective studies, the results of which must be confirmed by prospective studies. In this regard, a larger sample size, multicenter, prospective cohort study, which included 196 patients with NSCLC, melanoma, RCC, and head and neck cancer, indicated a worse response and OS in recipients of PD-1/PD-L1 antibodies after antibiotic use [62]. Surprisingly, patients achieved worse clinical outcomes when they were administered broad-spectrum antibiotics once a month before, rather than concurrent with, ICB therapies [62]. ICB therapy following antibiotics appeared to be even worse than no primary treatment. Another clinical trial examined the clinical outcome of antibiotics (quinolones or β -lactam) administration within 30 days of therapy outset in patients with advanced RCC or NSCLC who received anti-PD-(L)1 mAbs alone or together with anti-CTLA-4 mAbs [68]. The results showed that antibiotics reduced the OS in NSCLC and PFS in RCC. Additionally, the clinical benefit to those patients with antibiotics usage within 30 days before ICB therapy was poorer than in those who received antibiotics 60 days before ICB [68]. Collectively, these findings indicate the significance of the timing of antibiotic use during immunotherapy.

Some studies have reached different conclusions. One retrospective study among 74 patients with NSCLC undergoing nivolumab and prior antibiotic medication found no obvious difference in efficacy or PFS [76], whereas other studies indicated that oral antibiotics could block the development of melanoma, pancreatic, and liver cancers [77,78].

Despite such conflicting outcomes, most researchers consider that antibiotics diminish the ICB response. Thus, the dosage and duration of antibiotics treatment should be considered thoroughly by clinicians before starting such treatment. It is also confusing whether patients should take probiotics or prebiotics to reverse the diminishing ICB response caused by antibiotics treatment. Such problems remain unsolved.

Gut Microbiota and ICB Therapy Toxicity

Similar to other cancer therapies, ICB can lead to immune-related adverse effects (irAEs), which include gut mucosal injury, intestinal barrier damage, and bacterial translocation resulting from increased gut permeability [79]. One of the high-risk toxicities is ICB-related colitis. Currently, there are few approaches that can be explored or applied to treat patients with ICB-related colitis.

Two prospective studies analyzed the association between ICB-related colitis and gut bacteria. In the studies, 34 patients with metastatic melanoma treated with ipilimumab with a lower fecal abundance of *Bacteroidetes* developed an increased risk of colitis because of the stimulation of Treg differentiation [80]. Shotgun metagenomic sequencing also found that a lower prevalence of two modules [i.e., microbial polyamine transport and biosynthesis of vitamin B (B1, B2, and B5)] could lead to higher susceptibility to colitis following anti-CTLA-4 therapy, with a sensitivity of 70% [80]. Among 26 patients with metastatic melanoma undergoing ipilimumab treatment, *Faecalibacterium* and *Firmicutes* enrichment in feces appeared to partially account for ipilimumab-mediated colitis, despite a better clinical response. This is the so-called efficacy–toxicity coupling effect [81]. This response might be associated with decreased baseline circulating CD4⁺ Tregs and inflammatory cytokines, such as IL-6, IL-8, and sCD25 [81]. The occurrence of adverse

Table 2. Clinical Trials on Gut Microbiota Modulation in Cancer Immunotherapy^a

| Clinicaltrials.gov identifier | Cancer | Type of trial | Population (N, age) | Intervention | Objective | Primary outcome/endpoint | Status | Location |
|-------------------------------|-------------------------|-------------------|---------------------|---|---|---|--|------------|
| FMT | | | | | | | | |
| NCT04056026 | Metastatic mesothelioma | Phase I | 1; age: child/adult | FMT from healthy family donor (transferred by colonoscopy): concurrent with anti-PD-1 therapy | Treatment: to enhance efficacy of pembrolizumab with single-dose FMT | PFS | Completed (September 2018–December 2018) | USA |
| NCT03353402 | Advanced melanoma | Phase I | 40; age ≥18 | FMT from responders (transferred by colonoscopy and capsule): after anti-PD-1 therapy | Treatment: to evaluate efficacy of FMT in stage III/IV patients who failed anti-PD-1 | Proper implant engraftment | Recruiting (November 2017–December 2021) | Israel |
| NCT03341143 | Advanced melanoma | Phase II | 20; age ≥18 | FMT from responders (transferred by colonoscopy): concurrent with anti-PD-1 | Treatment: to evaluate efficacy of FMT in patients resistant to pembrolizumab | ORR | Recruiting (January 2018–July 2021) | USA |
| NCT03772899 | Advanced melanoma | Phase I | 20; age ≥19 | FMT capsule from healthy donors: prior to anti-PD-1 therapy | Treatment: to examine effect and safety of combining FMT and pembrolizumab/nivolumab | ORR | Recruiting (March 2019–December 2023) | UK, Canada |
| NCT04163289 | RCC | Phase I | 20; age ≥18 | FMT capsule from healthy donors: prior to and concurrent with anti-CTLA-4 and anti-PD-1 therapy | Treatment: to prevent immune-related colitis of ipilimumab/nivolumab | ORR | Recruiting (February 2020–March 2024) | UK |
| NCT04130763 | GIT cancers | Phase I | 5; age 18–70 | FMT capsule from healthy donors: prior to and concurrent with anti-PD-1 | Treatment: to improve efficacy in patients with PD-1-resistant GIT tumors | ORR | Recruiting (October 2019–December 2021) | China |
| Probiotics | | | | | | | | |
| NCT03829111 | Advanced RCC | Phase I | 30; age ≥18 | <i>Clostridium butyricum</i> CBM 588: concurrent with anti-PD-1 and anti-CTLA-4 | Treatment: to increase effect of nivolumab/ipilimumab | Constitutional change in <i>Bifidobacterium</i> | Recruiting (April 2019–June 2022) | USA |
| NCT03637803 | Advanced solid tumors | Phase I, Phase II | 132; age ≥18 | MRx0518 (a Live bio-lyophilized <i>Enterococcus gallinarum</i>): concurrent with anti-PD-1 | Treatment: to improve immune responses in patients resistant to pembrolizumab | Safety and clinical benefit | Recruiting (January 2019–March 2023) | USA |
| NCT03817125 | Metastatic melanoma | Phase I | 30; age ≥18 | SER-401: prior to and concurrent with anti-PD-1 after antibiotic (vancomycin) treatment | Treatment: to evaluate safety of treatment with SER-401 combined with nivolumab | Adverse events | Recruiting (January 2019–February 2022) | USA |
| NCT03686202 | Solid tumors | Phase I | 65; age ≥18 | Microbial ecosystem therapeutics (MET-4, defined mixture of pure live cultures of gut bacteria isolated from stools of healthy donor): concurrent with ICBS | Treatment: to assess safety, tolerability and engraftment of MET-4 in combination with ICBS | Change of response related to species abundance and bacterial diversity; and adverse events | Recruiting (November 2018–December 2020) | Canada |
| NCT03595683 | Advanced melanoma | Phase II | 70; age ≥18 | EDP1503 (monoclonal microbial product with <i>Bifidobacterium</i> spp.): concurrent with anti-PD-1 | Treatment: to enhance response to pembrolizumab | Response rate and adverse events | Recruiting (July 2018–November 2021) | USA |

| Prebiotics | | | | | | | | |
|-------------|---|----------------|------------------------------|--|--|---|---|-------------|
| NCT04009122 | Metastatic NSCLC | Not applicable | 280; age ≥18 | IGEN0206 (dietary nutritional product): concurrent with therapies | Treatment: to improve life quality of patients with NSCLC with immunotherapy, chemotherapy, and biological therapy | Assessment of life quality | Recruiting (June 2019–December 2021) | Spain |
| Antibiotics | | | | | | | | |
| NCT03891979 | Pancreatic adenocarcinoma | Phase IV | 25; age: 18 months–100 years | Antibiotics (ciprofloxacin and metronidazole): combined antibiotics preoperative days 1–29 with pembrolizumab on days 8 and 29 | Treatment: to determine changes in immune activation in tumor tissues | Changes in immune activation in tumor tissue measured by activation of HLA-DR | Withdrawn (suspended due to primary investigator's decision) (May 2019–June 2020) | USA |
| NCT02366884 | Advanced cancer | Phase II | 250; age: 1–75 y | Antibiotics (antibacterial, antifungal, antiprotozoal agents) | Treatment: to determine benefit of these agents or their combination | Clinical efficacy | Recruiting (July 2011–December 2022) | Mexico |
| Vaccines | | | | | | | | |
| NCT03421236 | Nonmuscle-invasive bladder cancer | Phase I | 25; age ≥18 | Ty21a (typhoid) | Treatment: to test safety of intravesical Ty21a | Adverse events | Recruiting (February 2018–March 2021) | Switzerland |
| NCT02625857 | Metastatic castration-resistant prostate cancer | Phase I | 26; age ≥18; male | JNJ-64041809 (live attenuated double-deleted <i>Listeria monocytogenes</i>); once a cycle | Treatment: to identify dose of JNJ-64041809 when administered intravenously | Incidence of dose-limiting-toxicity and antigen-specific T cell response | Completed (December 2015–July 2018) | USA |
| NCT02718430 | CRC with liver metastasis | Phase I | 6; age ≥18 | VXM01 (live attenuated <i>Salmonella typhimurium</i> carrying <i>VEGFR2</i>) | Treatment: to assess effect of VXM01 | Safety and tolerability of VXM01 | Completed (February 2016–March 2018) | Germany |
| Others | | | | | | | | |
| NCT02002182 | HPV(+) oropharyngeal cancer | Phase II | 30; age ≥18 | ADXS11-001 (using a genetically-modified live strain of <i>L. monocytogenes</i>): before surgery | Treatment: to assess effect of ADXS11-001 before surgery | HPV-specific T cell response rate and toxicity | Active, not recruiting (December 2013–August 2022) | USA |
| NCT01924689 | Solid tumor | Phase I | 24; age ≥18 | <i>Clostridium</i> Novyi-NT spores (intratumoral injection) | Treatment: to examine efficacy and safety in patients with treatment-refractory solid tumors | Adverse events | Completed (October 2013–October 2017) | USA |

^aAbbreviations: DCR, disease control rate; DOR, duration of response; ORR, objective response rate; RR, response rate.

effects was related to host immune status, tumoral genetic factors, the TME, and microbial regulation.

The combination of *B. cepacia* and *B. fragilis* mitigated the histopathological intestinal mucosal inflammation related to the anticancer response in mouse models [56]. In a successful case series of FMT amelioration of ICB-related refractory colitis in two patients [82], clinical symptoms and endoscopic manifestations were relieved at 53 days and 78 days after each dose of FMT, respectively. After FMT, a significant decrease in CD8⁺ cytotoxic T cell levels with an elevation of CD4⁺ Foxp3⁺ T cells was observed in one patient, and a reduction of all T cell subtypes was observed in the other patient. The gut microbial signatures were altered following FMT, with a notable increase in *Akkermansia*, *Bifidobacterium*, and *Bacteroides*, and a reduction in *Escherichia*. This successful attempt provided insights into gut microbiome modulation via FMT to improve ICB-associated toxicities. How can we forecast and minimize severe ICB-related adverse effects? Can we intervene to uncouple the toxicity and efficacy in ICB therapy [34]? To answer these questions and evaluate the profit of this approach, further large-scale cohorts and studies of potential mechanisms are required [82] (Figure 2).

Concluding Remarks

The gut microbiota has a significant role in the cancer immune response and immunotherapy. Therefore, microbiota precision medicine, including FMT, prebiotics, probiotics, antibiotics, and vaccines, has been proposed as the ideal microbial therapeutic application in cancer treatment, which represents a more selective and safer microbial therapy facilitating immune elimination of tumor cells (Figure 2). Many strategies are being tested in clinical trials [83,84] (Table 2), such as more live immunogenic commensal bacteria, antibiotics that specifically target unfavorable bacteria, genetically modified vaccines comprising cancer epitopes with microbial adjuvants, monoclonal microbial products with specific strains, FMT, probiotics, prebiotics or dietary immunostimulating products, and adjuvants that can enhance the antitumor effect of bacteria [5,56,58,83–85] (Table 2).

Attempts at microbiome-based therapeutics could concentrate on the identification of individual bacterial strains or synthetic engineering of target bacteria to deliver products or drugs to target organs [86]. Indeed, engineered bacterial immunotherapy has been proposed, whereby bioengineered antitumor bacteria strains enhance adaptive and innate immunity [87,88]. Moreover, systemic administration of bacterial outer membrane vesicles (OMVs) might represent a new strategy for cancer immunotherapy by means of generating anticancer cytokines, without obvious adverse effects [89].

In summary, the gut microbiota and its metabolites act as adjuvants that determine the ‘tone’ of the TME. Host immunity also modulates and controls the microbiome by altering microbe-related signaling or metabolic functions to influence tumor surveillance. Moreover, cancer transforms the gut microbiome and either intensifies or reduces its response to immunotherapies, particularly ICB (Figure 2). In recent years, microbiota precision medicine has become a highly anticipated therapy. Nonetheless, there remain multiple knowledge gaps or problems for future exploration (see Outstanding Questions). High-quality, large-scale studies may supply strong evidence that the gut microbiota could serve as a promising prognostic marker or therapeutic candidate as a cancer immunotherapy adjuvant.

Author Contributions

C-B.Z. was responsible for collecting and arranging references, writing, and revising the manuscript. Y-L.Z. assisted in revising the manuscript. J-Y.F. provided ideas and helped organize the structure and main content of this manuscript.

Outstanding Questions

How does immunity specifically discriminate commensal from pathogenic bacteria?

How can the cancer immune response be specifically regulated between the gut microbiome and tumor-associated antigens?

How can the microbiota transmit signals of immune responses in different intestinal positions from the intestines to distant extraintestinal organs?

Can we extend in-depth mechanistic studies to cancers other than GIT tumors?

What types of patient are at a higher risk for poor efficacy of immunotherapies?

How can we expand the coverage of ICB efficacy to most patients with cancer?

How can we predict, and more importantly, reduce, therapy resistance to the maximum extent regarding the response heterogeneity to ICB therapies?

What is the proper time window and endpoint of antibiotic medication during immunotherapy?

What is the course of action if patients have severe infections and must use antibiotics during their cancer treatment?

Is it necessary to take probiotics concurrently with antibiotics during cancer treatment?

Do antibiotics reduce ICB efficacy via direct mutual interference or by destroying the microflora?

Is it the collective microbial community or individual species, through continuous crosstalk, critical for patient outcomes?

How can bacteria-specific immune cells gather at a remote TME and assist the mounting of a tumor-specific immune response to ICB therapies?

How can we better manipulate the gut microbiome? Individualized microbial biomarkers should be explored to

Declaration of Interests

None declared by authors.

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assist clinicians to identify the host ecological imbalance associated with adverse outcomes of immunotherapy.

How can we prevent tolerance to cancer immunotherapy by regulating the gut microbiome?

How can bacteria be used as prognostic biomarkers or even therapeutic targets?

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